

# Whistleblowing

## 1. Background

As a company and employer, we commit ourselves, our employees, customers and suppliers as a reliable, sustainable and customer-oriented supplier in the precious metals' business to fairness, legality and maintaining a long-term partnership. Therefore, compliance with all legal requirements, our supply chain due diligence principles and our internal guidelines are of utmost importance to SAXONIA. Any discrepancies could result in the loss of a business relationship or legal disputes, potentially damaging the company's reputation.

We guarantee full compliance with legal requirements as well as our internal principles and guidelines by means of organisational measures (e.g. principle of dual control, internal processes and guidelines), prevention (including trainings, audits), control efforts (including internal audits) and sanctions.

## 2. Whistleblowing contact desk

We encourage you as our employees, customers, suppliers, stake holders and other interested persons to support us by disclosing any relevant information. We will use this information to further improve our processes and guidelines. Every notice will be followed and measures will be taken.

You are welcome to send us your information anonymously to our whistleblowing contact desk at:

[whistleblowing@saxonia.de](mailto:whistleblowing@saxonia.de)

+49 (0)351 484585190

SAXONIA Holding GmbH  
Whistleblowing  
Dr.-Külz-Ring 10  
01067 Dresden

We ensure confidential treatment and all protective measures to which the whistleblower is entitled in accordance with the Whistleblower Protection Act (HinSchG).

## 3. Process

Each whistleblower receives an acknowledgement of receipt of the report after seven days at the latest. Should further questions arise, the Whistleblowing contact desk will contact the whistleblower to obtain further information. It checks the validity of the report and arranges for appropriate follow-up action. Within three months, the whistleblower receives feedback on the status of the report to the extent permissible.

## 4. External whistleblowing contacts

In addition to our internal whistleblowing contact desk, you may also give information to external offices, such as:

- Whistleblowing office of the Federal Financial Supervisory Authority (BaFin) [www.bafin.de](http://www.bafin.de)
- Whistleblowing office of the Federal Office of Justice [www.bundesjustizamt.de](http://www.bundesjustizamt.de)
- Whistleblowing office of the Bundeskartellamt [www.bkms-system.net](http://www.bkms-system.net)